

LOGICAL FORM THROUGH ABSTRACTION

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Abstract: In a recent book, *Logical Form: between Logic and Natural Language*, Andrea Iacona argues that semantic form and logical form are distinct. The semantic form of a sentence is something that (together with the meanings of its parts) determines what it means; the logical form of a sentence is something that (all by itself) determines whether it is a logical truth. Semantic form does not depend on context but logical form does: for example, whether ‘This is this’ is a logical truth depends on whether the two occurrences of ‘this’ are used to demonstrate the same individual. I respond by claiming that logical form is indifferent to reference and is sensitive only to obligatory co-reference. When the speaker intends both occurrences of ‘this’ to be interpreted the same way the logical form of ‘This is this’ is $\alpha = \alpha$, while in a context where the speaker has no such intention it is $\alpha = \beta$. This proposal allows a much more conservative revision of the traditional picture than the one suggested by Iacona. Instead of identifying the logical form of a natural language sentence by seeking a formalization in an artificial language we obtain it through abstraction from its syntactic analysis: replacing the non-logical expressions by schematic letters, making sure that we use identical ones if and only if the speaker intended co-reference.

Keywords: abstraction, context, context-dependence, co-reference, logic, logical constant, logical form, semantics

1. Logically interpreted LF's

“Logical form” is a technical term whose meaning and use has remained in flux despite various attempts to nail it down.¹ Usually, the logical form of a sentence is supposed to do two things. Its semantic role is to determine, together with the meanings of the parts of the sentence, what the sentence means. Its logical role is to determine, together with the logical forms of other sentences within an inference, whether the inference is a logically valid. That something can play both these roles is what Andrea Iacona, in his book *Logical Form: Between Logic and Natural Language*, calls the uniqueness thesis.² The central claim of the book is that the uniqueness thesis is false: we should allow logical forms to do their work in logic and let something else pick up the slack in semantics.

What does ‘determination’ mean in the specification of the semantic and logical roles of logical form? Iacona suggests the relevant notion is that of *grounding*: some facts determine some other facts if and only if the latter hold solely in virtue of the former.³ I think this is too strong: no inference is logically valid solely in virtue of the fact that it has some form or other. Logical validity can only be explained by

¹ The term was originally introduced by Bertrand Russell in his 1914 Lowell lectures; cf. Russell (1993). For a survey of contemporary views on logical form, see Pietroski (2016).

² Iacona (2018): 39.

³ Iacona (2018): 41.

appeal to other, more general logical validities.⁴ The fact that (1) is an instance of (1a) goes some distance towards explaining its logical validity, the fact that it is an instance of (1b) goes further, and the fact that it is an instance of (1c) goes further still. But (1) is not logically valid solely because it has these forms, but rather, because it has these forms and because these forms themselves are logically valid.

- (1) Venus is a star; therefore, Venus is a star or Venus is a hole in the firmament
- (1a) v is a star; therefore, v is a star or v is a hole in the firmament
- (1b) v is an S ; therefore, v is an S or v is an H
- (1c) p ; therefore, p or q

What we need, I suggest, is a weaker explanatory notion of determination: some facts determine some other facts if and only if the latter can be explained by (among other things) appeal to the former. Then, if the uniqueness thesis holds, the logical form of a sentence is a *schema* that abstracts from all and only those properties of a sentence that are irrelevant to its semantic or logical behavior.

What do logical forms in this sense look like? We can arrive at them through careful abstraction. Phonological properties of sentences can be abstracted away: when they make a difference to meaning (e.g. when the sentence contains an expression associated with focus, as ‘only’ is in ‘John only introduced *Bill* to Sue’ vs. ‘John only introduced Bill to *Sue*’ vs. ‘John only *introduced* Bill to Sue’) we can make sure that the relevant feature is represented in the syntax.⁵ Some but not all semantic properties can also be ignored. The logical behavior of ‘Venus is a star’ depends on the meanings of ‘is’ and ‘a’ but not on the meanings of ‘Venus’ or ‘star’ – uniformly substituting another proper count noun for the former or another common count noun for the latter within an inference won’t affect its logical validity.⁶ When it comes to syntactic properties, logical forms can ignore almost nothing. Some syntactic theories posit uninterpreted features which are ultimately erased in the course of deriving the sentence – these certainly won’t matter to logic. But it is hard to see how anything about the *outcome* of the derivation

⁴ See Szabó (2012).

⁵ In the case of focus, this is normally done by adding a focus-feature to the appropriate constituent; cf. [John only introduced [Bill]_f to Sue] vs. [John only introduced Bill to [Sue]_f] vs. [John only [introduced Bill to Sue]_f].

⁶ Except, perhaps, in hyper-intensional contexts. Since I am inclined to think if $S_1 \neq S_2$ ‘Lucy believes that S_1 ’ hardly ever logically entails ‘Lucy believes that S_2 ’, I also think that if we don’t ignore hyper-intensional contexts the notion of logical form is trivialized.

(what is sometimes called LF⁷) could be guaranteed to be logically otiose. As a first, very rough approximation, we might then say that the logical form of (2) is something like (2'):

- (2) Venus is a star
(2') [*pres*[_N(proper, count)]] [*be*[*a*[_N(common, count)]NP]VP]TP]

(The italics marks that the phonological features of an expression have been stripped away. '*pres*' represents a present tense marker, which is moved to the front of the tense phrase leaving the tenseless root of 'is' behind.) Call this the *logically interpreted LF* of (2) – the outcome of the syntactic derivation of the sentence together with the interpretations of its logical morphemes (in this case, the present tense marker, the copular verb, and the indefinite article).

If an inference is logically valid, that can always be explained by (among other things) appeal to its logically interpreted LF. We know this, since we arrive at logically interpreted LF's through a process of abstraction that ignores only logically irrelevant properties. Logically interpreted LF's can also play the semantic role: given the logically interpreted LF of a sentence, and given the meanings of its non-logical expressions, a compositional semantics can explain why the sentence means just what it does. We know this because the process of abstraction that generates logically interpreted LF's does not ignore any aspect of the syntactic structure of the sentence. And so, the uniqueness thesis – understood as a weak explanatory claim – appears to be in a good shape. Why does lacona think the thesis is false?

2. Context and logical form

lacona's argument against the uniqueness thesis is straightforward: whatever plays the logical role *must* depend on context and whatever plays the semantic role *cannot*. lacona makes a solid case for the first claim. Consider, for example, (3):

- (3) That is that.

⁷ While the expression 'LF' was originally introduced as the abbreviation of 'logical form', nowadays the term is used in linguists for something that plays the semantic role traditionally associated with logical form. LF cannot play the logical role, since it leaves the interpretation of logical expressions open.

When uttered in a context where the speaker conspicuously demonstrates the same thing twice, the sentence expresses a logical truth. When uttered in a context where the speaker conspicuously demonstrates different things, the sentences expresses a falsehood. To play its logical role, the logical form of (3) must thus be sensitive to context.

The defense of the second claim is less persuasive. The natural suggestion would be to say that the logical form of (3) must be schema that carries indices in the positions where the sentence contains the demonstrative pronoun 'that'. But this, Iacona argues, won't work: if one attempts "to provide a general treatment of context-sensitivity in terms of indexed structures based on semantic considerations, one will end up holding a radical view according to which context sensitivity reduces to some nonstandard form of ambiguity, so that every difference of truth conditions due to context sensitivity can be described in terms of a difference in syntactic structure."⁸ This would be a mistake, Iacona suggests, because the meaning of a sentence is an intrinsic property of the sentence, compositional semantics seeks to explain why the sentence has the meaning it does, and explanations of intrinsic properties must rely solely on other intrinsic properties.⁹

All three of these claims are contentious. I am open-minded about the first,¹⁰ accept the second,¹¹ but reject the third. My height is intrinsic to me, why I have my height is explained by my genes and my nutrition, and yet, my nutrition is not intrinsic to me. And so, I see no reason to deny that intrinsic sentence meanings could be explained by positing extrinsic logical forms.

While I think Iacona's argument fails, I agree with him that we should not individuate logical forms using the referents of context-sensitive expressions. Here is my argument. Whatever semantically distinguishes a pure demonstrative and proper name that refer to the same thing in a given context is, I presume, logically irrelevant.¹² If we decided that pure demonstratives contribute their referents (and nothing else) to logical forms, by uniformity, we should say the same thing about proper names. But

⁸ Iacona (2018): 50.

⁹ Iacona (2018): 66-7.

¹⁰ This would require that we think of linguistic meaning as something like Kaplanian character.

¹¹ For a defense, see Szabó (2019).

¹² The inference 'Venus is a planet; therefore something is called 'Venus'' is just as invalid as the inference 'This is a planet; therefore something has been being demonstrated'. It is possible for Venus to be an unnamed and undemonstrated planet.

then we would have to say that (4) is unambiguously logically valid – which is something we shouldn't say. It would amount to giving up the *apriori* status of logic.

(4) Hesperus is Hesperus; therefore, Hesperus is Venus.

This leaves us with a question. We cannot say that (3) has a single logical form since it is true in some contexts but false in others. We should not say that (3) has infinitely many logical forms corresponding to each pair of things that can be demonstrated by the occurrences of 'that' within the sentence. So, how many logical forms does (3) have?

3. Obligatory co-reference

The answer depends on the broader linguistic context (3) occurs in. Suppose first that (3) is uttered in isolation – then, I think, it has only two possible logical forms: one where the two occurrences of 'that' are co-indexed, and one where they are not.

(3a') [*pres*[_N(*proper, count*)]₁[*be*[_N(*proper, count*)]₁VP]TP]

(3b') [*pres*[_N(*proper, count*)]₁[*be*[_N(*proper, count*)]₂VP]TP]

Sameness of indices represents obligatory co-reference. (3a') is a logical truth, (3b') is not – even if the two occurrences of the demonstrative happen to pick out the same thing. Logic doesn't care about reference but it does care about obligatory co-reference.

What determines whether the two occurrences of 'that' are obligatorily co-referential? The very same thing that settles the interpretation of ambiguous words: the speaker's communicative intentions constrained by semantics. When a pure demonstrative is used the speaker must somehow indicate its referent. One way to do this is to indicate that the referent is whatever the referent of an earlier occurrence of an expression is. If the speaker does this, the two occurrences are co-indexed – provided co-reference is not ruled out semantically – as it is, for example, in (5):

(5) #That is those.

Of course, if (3) occurs within a larger linguistic context, we need to consider cross-sentential co-indexing as well. For example, (6) has twelve possible logical forms:

(6) That is that. But that isn't that.

1	1	1	1
1	1	1	2
1	1	2	1
1	2	1	1
1	1	2	2
1	2	1	2
1	2	2	1
1	2	2	2
1	1	2	3
1	2	1	3
1	2	3	1
1	2	3	4

Indices are not perfect for representing obligatory co-reference. In counting the logical forms for (6), we did not distinguish logical forms that can be obtained from one another by uniform substitution of indices. A more perspicuous representation would use brackets to indicate obligatory co-reference. The seventh logically interpreted LF of (6) – highlighted above – could, for example, be represented as follows:¹³

(6₇) $[pres[_N(\text{proper, count})][be[_N(\text{proper, count})]VP]VP]TP] [pres[_N(\text{proper, count})][not [be[_N(\text{proper, count})]VP]VP]TP]$

But since indices are standard fare in semantics and since brackets are typographically cumbersome, I will stick with the inferior notation and continue to talk of co-indexing.

Following the principle that we should represent pure demonstratives and proper names at the level of logical form the same way, I suggest that the proper names should also bear indices. This fact explains two phenomena Iacona discusses. The first is the observation that the following passage seems to present us with a logically valid argument: “If Aristotle spent twenty years in the Academy and studied extensively the *Dialogues*, he admired Plato. But we know that he spent twenty years in the Academy and studied extensively the *Dialogues*. Therefore the Stagirite admired Plato.”¹⁴ Yet, if we follow the

¹³ For the sake of simplicity I have ignored ‘but.’ This discourse particle indicates contrast between the two sentences of (6). Discourse relations are often assumed to be logically irrelevant; whether this assumption is correct is controversial and I do not wish to take a stand on the matter here.

¹⁴ Iacona (2018): 74.

standard practice and represent 'Aristotle' and 'the Stagirite' at logical form using different individual constants, we cannot predict this. On the other hand, if we assume that the speaker presumes that it is common ground that Aristotle is identical to the Stagirite we can allow co-indexing and derive a logically valid interpretation for the passage.

The second example, is Kripke's Paderewski case. Peter, you might recall, fails to recognize that Paderewski the pianist is none other than Paderewski the politician. Since Peter would sincerely assent (in different contexts) both to 'Paderewski is a politician' and 'Paderewski is not a politician' it appears that we must ascribe logical confusion to him despite his impeccable rationality. Indices, again, save the day: given his beliefs, Peter clearly does not intend these two occurrences of 'Paderewski' to co-refer, so their logical forms will contain different indices. It is impossible for both of Peter's beliefs about Paderewski to be true but they can be logically consistent nonetheless.

The fact that an occurrence of an expression obligatory co-refers with an occurrence of another is a logically significant fact. So, it must be represented at the level of logically interpreted LF's.

Whether we should say that indices are part *syntactic* form is less clear. It is traditional to keep syntax restricted to sentences while – as (6) illustrates – obligatory co-reference can be cross-sentential. There are also difficulties in integrating the indices proposed here with the ones posited by the Binding Theory.¹⁵ So, perhaps we should say that co-indexing is a *semantic* matter. But since what is being indexed are occurrences of expressions, not expressions themselves, there is no easy way add such an apparatus to traditional semantics. Being co-indexed is not a property of individual occurrences, but of collections. The best option is probably to relax the traditional formulation of the compositionality principle and allow that the meaning of a complex expression can depend not only on its syntactic form and the meanings of its constituents, but also on obligatory co-reference relations among occurrences of those constituents.¹⁶

¹⁵ According to Principle C of Chomsky (1981), a referring expression can never be co-indexed with anything. Fox (2000) argues that Principle C is operative at LF. Yet, the fact that definites (including pure demonstrative pronouns) can sometimes be bound – as illustrated, for example, by the sentence 'Mary talked to no senator without declaring afterwards that that was the one who would cosponsor her bill' from Elbourne (2008): 445 – is a problem for this view.

¹⁶ See Fine (2007).

Logical forms must display when occurrences of expressions obligatorily co-refer. This is no threat to the uniqueness thesis but it does entail something surprising: logical forms belong not to sentences but to entire discourses. This vindicates the practice of always assigning logical forms to arguments, rather than to individual premises and conclusions.

4. Comparison

On Iacona's view logical forms are displayed by formulae that mirror their truth-conditions. The notion of truth-conditions is hyper-intensional – 'Plato is a philosopher' and 'Either Plato is a philosopher or Plato is a philosopher and Aristotle is a philosopher' are said to have different truth-conditions.¹⁷ Whether a formula mirrors the truth-conditions of a sentence depends on whether it is an adequate formalization of the sentence.¹⁸ This view differs from the one advocated here in at least four ways.

4.1. Stability

What is adequate formalization? There is a clear interest-relative notion, according to which a formalization of an argument is adequate just in case it allows us to explain its logical properties. Given that notion, we should say that (7') is an adequate formalization of (7).

(7) Venus is a star; therefore, Venus is a star or Venus is a hole in the firmament
(7') $p \therefore p \vee q$

But (7') represents 'Venus is a star' simply with the schematic letter p , and this is obviously inadequate to explain the logical properties of many other inferences in which this sentence occurs. Iacona thinks adequate formalization must be more stable across linguistic contexts than what is dictated by the interest-relative notion of adequacy. But it is not clear where this extra stability is supposed to come from.

On my view, the source of stability is syntax. The LF of 'Venus is a star' distinguishes a number of constituents and these distinctions are preserved at the logically interpreted LF. There is a single logical

¹⁷ Iacona (2018): 54.

¹⁸ Iacona (2018): 59.

form we can assign to (7), provided we assume that all occurrences of ‘Venus’ obligatorily co-refer and agree on which expressions within the inference should count as logical. I think it is an advantage of my proposal that it delivers relatively stable logical forms.

4.2. Individuation

lacona stresses that his account leaves open the possibility that (8a) and (8b) are both adequately formalized as (8') and that (9a) and (9b) are both adequately formalized as (9'):¹⁹

- (8a) Not all Martians are green
- (8b) Some Martians are not green
- (8') $\exists x(Martian(x) \wedge \neg Green(x))$

- (9a) Donald is a drake
- (9b) Donald is a male duck
- (9') $Male(d) \wedge Duck(d)$

In general, he thinks there is no clear rationale for ascribing logically equivalent sentences distinct logical forms, if the formulae have approximately the same complexity. Since I think logical forms have a semantic role to play I do have such a rationale: the logically interpreted LF of (8a) contains no expression that could be taken to be an existential quantifier and the logically interpreted LF of (9a) contains no expression that could be taken to be a predicate true of all and only ducks.

There is also independent reason to think that we need to individuate logical forms more finely than lacona suggests. Consider the minimal pair (10a,b):

- (10a) Some Martians are not green. They are dangerous.
- (10b) Not all Martians are green. They are dangerous.

A natural reading of (10a) logically entails that some non-green Martians are dangerous. By contrast, (10b) has no such reading. Its only interpretation renders ‘they’ as a demonstrative referring to some contextually salient group of entities (perhaps all and only the Martians) – a reading (10a) has in addition to the one where ‘they’ refers to all and only the non-green Martians. To capture this logical

¹⁹ lacona (2018): 64-5.

difference between (10a) and (10b) we must, *pace* lacona, assign distinct logical forms to (8a) and (8b) – at least as they occur in (10a) and (10b), respectively.²⁰

4.3. Formalism

lacona's logical forms are invariably displayed by formulae of artificial languages, while mine are displayed by symbolism designed for the study of natural languages. The formalism he employs is tailor-made for logic – accordingly, the (partial) explanations of logical validity he offers are more perspicuous than the ones I could give. That's fine: I never claimed that logically interpreted LF's are particularly *useful* in explaining logical validity. The claim is only that they *can* feature in such explanations.

4.4. Logical constants

The most important difference between lacona's view and mine is that he thinks sentences have logical forms in virtue of their truth-conditions, while I hold that sentences (or, rather, discourses) have logical forms in virtue of broadly structural features: syntax, indexing, and the meanings of logical constituents. On many views (lacona himself is somewhat non-committal on this front), truth-conditions are identified with propositions, and most²¹ propositions exist independently of how we represent the world. If we go along with this, lacona's view entails that the question whether a particular inference is logically valid typically has an objectively correct answer (modulo indeterminacies about what propositions are expressed by the sentences within the inference). I reject this idea.

I think validity (necessary truth-preservation) is an objective matter, but I don't think there is an objective way to divide valid inferences into logical and non-logical ones. It is clear that 'or' and 'some' as logical expressions and also that 'beech' and 'elm' are not. But almost all expression semanticists are interested in are in the grey area in-between. Should we say that 'true', 'must', 'before', 'do', the present tense, the imperative mood, the plural morpheme, the progressive marker, etc. are logical

²⁰ Since lacona thinks logical forms are determined by truth-conditions, he can only accommodate this data by claiming that (8a) and (8b) have different truth-conditions. I think individuating truth-conditions finely is fine but I am not sure individuating them this finely is a good idea. The difference in meaning between (8a) and (8b) has nothing to do with the conditions under which they are true and everything to do with the continuations they license.

²¹ The ones that are about representations are exempted.

expressions? I doubt there is a principled response to these questions – what we end up doing depends on our explanatory purposes. On my view, the question whether some propositions logically entail others makes no sense, and the question whether some sentences logically entail others can only be answered relative to a *choice* of logical vocabulary.

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