

# Andrew J. Sinclair

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CONTACT INFORMATION	K.K. Leung Building Pokfulam Road Hong Kong	+852 9858 0726 andrew.sinclair@hku.hk www.andrewjohnsinclair.com
NATIONALITY	Canadian and British Citizen	
ACADEMIC POSITIONS	<b>The University of Hong Kong</b> , Hong Kong Assistant Professor of Finance	2017 to Present
EDUCATION	<b>Yale University</b> , New Haven, CT Ph.D., Financial Economics M.A., M.Phil., Financial Economics	2017 2013
	<b>University of Waterloo</b> , Waterloo, Canada M.Acc., Finance	2009
	<b>University of Toronto, Innis College</b> , Toronto, Canada Hons. B.Sc. with <i>High Distinction</i> , Actuarial Science	2007
RESEARCH INTERESTS	Hedge Funds, Financial Intermediation, Securitization, Financial History	
WORKING PAPERS	<ol style="list-style-type: none"><li>1. <b>“The Allocative Role of Prime Brokers”</b> (Job Market Paper)</li><li>2. <b>“Who Responds to Hedge Fund Advertising? Institutional or Individual Investors?”</b> (with N. Mojir and K. Sudhir)</li><li>3. <b>“Rehypothecation and the Pledgeability of Collateral”</b></li></ol>	
WORKS IN PROGRESS	<ol style="list-style-type: none"><li>1. <b>“Hedge Fund Media Exposure: Third-Party Marketers as Gatekeepers”</b></li><li>2. <b>“A Model of Collateral Funding”</b> (with Z. Liu)</li></ol>	
TEACHING EXPERIENCE	<b>Teaching Assistant - Yale School of Management (MBA)</b> World Financial History, Prof. William Goetzmann Hedge Fund Strategies, Prof. Zhiwu Chen Real Estate Finance, Prof. Matthew Spiegel Capital Markets, Prof. Gary Gorton The Financial System, Prof. Andrew Metrick Emerging Markets, Prof. Zhiwu Chen	Fall 2016 Fall 2015 Spring 2015 Fall 2013 Spring 2013 & 2012 Fall 2011
	<b>Teaching Assistant - Yale College (Undergraduate)</b> Financial Theory, Prof. John Geanakoplos Intermediate Macroeconomics, Prof. William Nordhaus	Fall 2014 Fall 2012

**Teaching Assistant - University of Toronto (Undergraduate)**

Introduction to Financial Derivatives, Prof. Keith Sharpe      Fall 2006

INVITED PRESENTATIONS      **2017:** Singapore Management University, The University of Hong Kong, Dimensional Fund Advisors, Federal Reserve Board (invited), Securities and Exchange Commission, American University, AQR Capital Management, and The Chinese University of Hong Kong.

CONFERENCE PRESENTATIONS      **2017:** Canadian Economic Association.

HONORS AND AWARDS      Yale Graduate School Fellowship, 2010-2015  
AFA Student Travel Grant, 2014  
Hong Kong PhD Fellowship (declined), 2010  
University of Waterloo Chair's Award, 2008  
Innis College Exceptional Achievement Award, 2004-2007

NON-ACADEMIC PROFESSIONAL EXPERIENCE      **Manulife Financial**, Toronto, Canada      2009  
Actuarial Analyst, Life Insurance Valuation

**Sunlife Financial**, Toronto, Canada      2007  
Summer Analyst, Credit Risk Management

**Mercer**, Toronto, Canada      2006  
Summer Analyst, Pension Valuation

ACTIVITIES AND SERVICE      Yale Graduate Crew Team, 2011-2015  
Pierson College Graduate Affiliate, 2012-2014

PROGRAMMING LANGUAGES      L<sup>A</sup>T<sub>E</sub>X, Python, R, Stata

REFERENCES

**Zhiwu Chen**

Victor and William Fung Professor in Economics, and  
Director of the Asia Global Institute  
The University of Hong Kong  
zhiwu.chen@hku.hk  
+852 2548 1152

**William N. Goetzmann**

Edwin J. Beinecke Professor of Finance and Management Studies, and  
Director of the Internal Center for Finance  
Yale School of Management  
william.goetzmann@yale.edu  
+1 (203) 432-5950

**Matthew Spiegel**

Professor of Finance, and  
Director of Graduate Studies  
Yale School of Management  
President of the Society for Financial Studies  
matthew.spiegel@yale.edu  
+1 (203) 432-6017

**Andrew Metrick**

Michael H. Jordan Professor of Finance and Management, and  
Director of the Yale Program on Financial Stability  
Yale School of Management  
andrew.metrick@yale.edu  
+1 (203) 432-3069